



Wealth Management  
Dominion Securities



## RBC Wealth Management Online

Questions? We're here to help – contact our Helpdesk at 1-888-820-8006, or contact your Investment Advisor team.

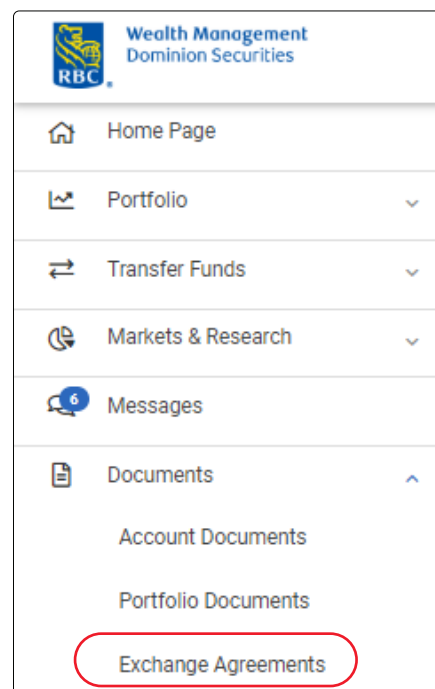
## Get real-time quotes

We are pleased to provide real-time quotes on stocks and options through RBC Wealth Management Online. To receive real-time quotes, first you need to accept certain terms and conditions that are required by the exchanges providing the quotes. There are some steps to follow, but here's a step-by-step guide to help:

1. Go to [www.rbcds.com](http://www.rbcds.com) and click on "Sign in" (top right corner).





If you don't remember your ID/password, please contact the RBC Wealth Management Online Helpdesk at 1-888-820-8006. If you don't yet have access to RBC Wealth Management Online, please contact your Investment Advisor team for assistance.

2. Once logged in, click on the "Documents" link and select "Exchange Agreements" on the left side of your screen.



RBC Dominion Securities Inc.

- Next, you will see a list of Canadian and U.S. exchange agreements. You will receive real-time quotes from the exchanges whose agreements you accept (otherwise, you will receive delayed quotes). Those agreements you have accepted are indicated by ✓. Those you haven't, with ✗.

Exchange Agreements				
<p>To receive real time quotes from each of these exchanges, you will need to accept the terms and conditions all exchange agreements. To view and accept the agreements, click the link below. To reset your agreement, please contact the Help Desk. You will be receiving delayed quotes from the exchanges where agreements were not accepted.</p>				
Agreement	Accepted	Not Accepted	Download Original	Date
Canadian Exchange Group (CEG) - Non-Professional	✓			May 04, 2020
New York Stock Exchange (NYSE) / NYSE American (AMEX)	✓			May 04, 2020
NASDAQ		✗		
Options Price Reporting Authority (OPRA)		✗		

- To get real-time quotes from the Canadian Exchange Group (which includes major Canadian stock exchanges such as the TSX), simply click “I Accept the Agreement” at the bottom of the page.
- To get real-time quotes from the New York Stock Exchange (NYSE) / NYSE American (AMEX), there are a few steps.

First, review Section 1, Terms and Conditions of General Applicability, then select “I Agree.”

Second, complete all the sections in Section 2 to help confirm that you’re using NYSE quotes for personal use only. Where it says “Subscriber” that means you, the RBC Wealth Management Online user. All the sections are mandatory.

Next, agree that the information you have provided is accurate, and that you understand and agree to the terms (also mandatory).

**Subscriber's name:**

**Subscriber's address:**

**Subscriber's occupations (list all occupations including homemaker, student, retiree, etc.):**

**Name(s) and address(es) of Subscriber's employer(s):**

Provide your Employer name and address. If you are currently unemployed, please enter unemployed.  
**Subscriber's title(s):**

Provide your current employment or title e.g. Sales Representative. If you are currently unemployed, please enter unemployed.  
**Subscriber's position(s):**

Provide your current employment or position e.g. Sales Representative. If you are currently unemployed, please enter unemployed.  
**Subscriber's employment functions (description):**

Finally, click “I Accept the Agreement” at the bottom of the page to activate real-time quotes from the NYSE.

6. Next up, real-time quotes from the NASDAQ.

First, provide your name, title and contact information as applicable.

Name:	John Test	Telephone	5555555555
Title:	Manager - IT	Fax	n/a
Address:	123 - ABC DRIVE	Email	John.Test@test.com

Next, provide your “Subscriber status” (mandatory) from the drop-down menu (1). (Remember, you are the subscriber.) If you live in Canada, simply select “Non-US Individual.”

Then, in the “Type” drop-down menu (2), select “Non-Professional” to confirm you’re using these quotes for personal use only (mandatory).

And then type in your full name in both of these fields (3).

Subscriber Status	1	Type	2
Print name of Individual Subscriber		Subscriber Signature	

Finally, click “I Accept the Agreement” at the bottom of the page to receive NASDAQ quotes in real-time.

7. If you want real-time options quotes, click on Options Price Reporting Authority (OPRA).

Next, enter your name and home address and then agree to all terms (mandatory).

Then, if you’re using real-time quotes for personal use only, please agree to (a) and (b) only in the following Addendum.

**ADDENDUM FOR NONPROFESSIONALS (To be completed by Nonprofessional Subscribers only)**

The purpose of this Addendum is to determine whether you are a “Nonprofessional” for OPRA’s purposes. OPRA defines a “Nonprofessional” as a legal person for whom the statements set out in Section 1 of this Addendum are true.

1. You represent and agree that the following statements are and will continue to be true for so long as you receive OPRA Data as a Nonprofessional:

(a) You are either a “natural person” (an individual human being) or a “qualifying trust.” You are not a corporation, partnership, limited liability company, or other form of entity (including any form of trust that does not qualify as a qualifying trust).

I Agree

(b) If you are a natural person, you shall use the OPRA Data solely in connection with your personal investment activities and the personal investment activities of your immediate family members\*\* and qualifying trusts of which you are the trustee or custodian. If you are a qualifying trust, you shall use the OPRA Data solely in connection with your personal investment activities. In any case, you shall not use the OPRA Data in connection with any trade, business, professional or other commercial activities.

I Agree

(c) You are not a “Professional.” For a natural person who works in the United States, a “Professional” is a natural person who is: (i) registered or qualified with the Securities and Exchange Commission, the Commodities Futures Trading Commission, any state securities agency, any securities exchange/association, or any commodities/futures contract market/association, (ii) engaged as an “investment adviser,” as that term is defined in the Investment Advisers Act of 1940 (whether or not registered or qualified under that Act); or (iii) employed by a bank or other organization exempt from registration under Federal and/or state securities laws to perform functions that would require you to be so registered or qualified if you were to perform such functions for an organization not so exempt. For a natural person who works outside of the United States, a “Professional” is a natural person who performs the same functions as someone who would be considered a “Professional” in the United States.

I Agree

Finally, click “I Accept the Agreement” at the bottom of the page. Now you will receive real-time options quotes.

### **That's it – you're all set**

Real-time quotes will be displayed on the Detailed Quote page of your RBC Wealth Management Online sessions.

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