

RBC Dominion Securities Inc.



Your DS statement: A guide for clients



Wealth Management
Dominion Securities

Your statement of account

Account summary page

1 Account type

Appearing at the top of your statement, this information refers to the given RBC Dominion Securities account name and number.

2 Advisory team

These are your points of contact should you have any questions.

3 For your information

We use this section to highlight items of interest.

4 Account details

This section contains a spousal RSP indicator as well as your beneficiary information. If you have a spousal registered account, your statement will identify the spousal account at the top right-hand corner of the statement.

If you have designated a beneficiary for your registered account, you will see the beneficiary listed on the top right-hand side of your statement.

In the event you have more than two beneficiaries designated, you will see “Multiple” on your statement. If no beneficiaries are designated, the default message will read “Refer to your Investment Advisor.” Please note that due to legislation in place in Quebec, this beneficiary designation is not applicable to Quebec-based residents.

5 Asset summary

You will get a snapshot of the market value of your total portfolio and the percentage of your portfolio that each security type represents.

6 Income summary

This section shows you the totals for interest, dividends and other income processed through your account for the current month and calendar year to date. Other income includes distributions from managed investments such as mutual funds, pooled funds and segregated funds, as well as income from other investments such as Income Trusts, Royalty Trusts and Real Estate Investment Trusts (REITs).

7 Cash balance

This section lists the opening and closing cash balances by account type.

8 Contribution summary*

This section shows contributions to this account within the first 60 days (and therefore applicable to the previous tax year) and for the balance of the year (applicable to the current tax year).

* This information will only appear on registered account statements.



Wealth Management
Dominion Securities

1 RBC Dominion Securities Inc. Statement of Your Account RRSP (Cdn \$)

SEPT. 30
2016

Page 1 of 2

Mr. John Smith
123 Your Street
Anytown, Anyprovince
Canada A1B 2C3

Your Account Number: 000-00000-0-0
Trustee: Royal Trust Company
Date of Last Statement: August 29, 2016

2 ADVISORY TEAM:

Investment Advisor(s):

Robert Allen
123-456-7890

Team Member(s):

Rosa Smith
123-456-7891

Branch Address:

Suite 1230, 45 Main Street
Anytown, Anyprovince
A1B 2C4

Branch Manager:

Mary McDonell
123-456-7892

4 ACCOUNT DETAILS

Your Plan Type: SPOUSAL
Your Beneficiary:
JANE SMITH

5 ASSET SUMMARY

	MARKET VALUE AT SEPT 30	PERCENTAGE OF MARKET VALUE
Cash	\$24,832.80	3.02%
Fixed Income	\$416,468.60	50.58%
Preferred Shares	\$0.00	0.00%
Common Shares	\$197,960.00	24.04%
Mutual Funds**	\$167,961.00	20.40%
Foreign Securities	\$16,100.00	1.96%
Managed Assets	\$0.00	0.00%
Other	\$0.00	0.00%
Total Value	\$823,322.40	100%

3 FOR YOUR INFORMATION:

RBC Dominion Securities is pleased to introduce your new Statement of Account. Should you have any comments or questions about your statement, your Investment Advisor would be pleased to discuss them with you.

6 INCOME SUMMARY

	THIS MONTH	YEAR-TO-DATE
Dividends	\$0.00	\$2,375.52
Interest	\$36.16	\$15,494.06
Other	\$0.00	\$0.00
Total Income	\$36.16	\$17,869.58

7 CASH BALANCE

ACCOUNT TYPE	OPENING BALANCE AT SEPT 01	CLOSING BALANCE AT SEPT 30
Cash	\$24,796.70	\$24,832.80

8 CONTRIBUTION SUMMARY

	PERSONAL CONTRIBUTION	SPOUSE'S CONTRIBUTION
First 60 Days	\$0.00	\$3,500.00
Balance of Year	\$0.00	\$13,000.00

CIPF
Canadian Investor Protection Fund
MEMBER

Your account activity page(s)

If you have any questions regarding your statement, please speak with your advisor.

1 Asset review

The portfolio summary section shows the quantity of a security, the current market price of your securities and the current market value of your securities.

2 Foreign exchange rates

The exchange rate used to convert U.S. dollar denominated assets held in your Canadian Dollar Account (these include all your Registered Account Statements which are always in Canadian dollars) appears on your statement when there are security positions in your account.

3 Book cost

Unless otherwise advised, “book cost” means: in the case of a long security position, the total amount paid for the security, including any transaction charges related to the purchase, adjusted for reinvested distributions, returns of capital and corporate actions; or in the case of a short security position, the total amount received for the security, net of any transaction charges related to the sale, adjusted for any distributions (other than dividends), returns of capital and corporate actions. Where a book cost is not available on a security position, market value will be used to calculate the book cost.

4 YTM – yield to maturity

This shows you the rate of return on an interest-bearing investment if you hold it to maturity, and takes into account purchase price, coupon rate and value at maturity.

5 Accrued interest included in market value

All fixed-income securities (except Monthly Pay Bonds) include accrued interest in the market value. This will be indicated by a superscript ⁽ⁱ⁾.

6 Account activity

This section allows you to follow the activity in your account over the past month. You will see:

- A chronological listing of activity dates
- The type of transaction that constitutes each activity
- A complete description of the activity
- The quantity and price of the security involved in the activity
- The debit/credit effect that activity had on your account

7 Open orders

This section shows all of your orders that are not yet completed because the current market price does not match the price you want to pay or receive. If and when the order is filled, the transaction will appear in the Account Activity section of the applicable monthly statement.

8 Trades for future settlement

Here’s where you can see those orders that have been executed, but not settled during the statement period. These transactions will appear in your next statement in the Account Activity section.



Wealth Management
Dominion Securities

RBC Dominion Securities Inc.
Statement of Your Account
RRSP (Cdn \$)

SEPT. 30
2016

Your Account Number: 000-00000-0-0

Page 1 of 2

1 ASSET REVIEW

2 (Exchange rate 1 USD = 1.1627CAD as of SEPT 30, 2016)

	SECURITY SYMBOL	QUANTITY/ SEGREGATED	MKT. PRICE	3 BOOK COST	MARKET VALUE	5
FIXED INCOME						
	PROVINCE OF BRITISH COLUMBIA – 9.50% 01/09/2019	330,000	121.83	\$378,607.80	\$416,468.60 ¹	
4	YTM AT PURCHASE DATE 7.113%	330,000				
	Total Value of Fixed Income			\$378,607.80	\$416,468.60	
COMMON SHARES						
	ROYAL BANK OF CANADA RY	4000	49.49	\$124,480.00	\$197,960.00	
		4000				
	Total Value of Common Shares			\$124,480.00	\$197,960.00	
MUTUAL FUNDS						
	SIGNATURE INCOME AND GROWTH FUND (6116) CIG6116	30,895.380	5.340	\$165,785.70	\$164,981.30	
		30,895.380				
SEGREGATED FUNDS						
	AIC ADVANTAGE SEGREGATED FUND II P60 DSC (502) TAI502	672,620	4.430	\$2,771.10	\$2,979.70	
		672,620				
	Total Value of Mutual Funds**			\$168,556.80	\$167,961.00	
FOREIGN SECURITIES						
	FORD MOTOR CORP F	2000	8.05	\$20,100.00	\$16,100.00	
		2000				
	Total Value of Foreign Securities			\$20,100.00	\$16,100.00	
	Total Value of All Securities			\$691,744.60	\$798,489.60	

6 ACCOUNT ACTIVITY

DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE/ RATE	DEBIT	CREDIT
		Opening Balance (SEPT. 1, 2016)				\$24,796.70
SEPT 29	INTEREST	INTEREST ON CREDIT BALANCE				\$36.16
		INT FR 08/22 THRU 09/27 @ 1 3/4%				
		CLOSING BALANCE (SEPT. 30, 2016)				\$24,832.80

7 OPEN ORDERS

ORDER DATE	ACTIVITY	DESCRIPTION AND YOUR ORDER PRICE	QUANTITY	SECURITY SYMBOL	MARKET PRICE
SEPT 15	BUY	ROYAL BANK OF CANADA	400	RY	\$48.42

8 TRADES FOR FUTURE SETTLEMENT

DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE	AMOUNT
OCT 02	SOLD	FORD MOTOR CORP	2000	8.05	\$16,100.00

Your portfolio review statement

1 Investment return

The total investment return on your portfolio, in dollars, over specific time periods.

2 Interest

Payments from such investments as bonds, debentures, GICs, term deposits and cash balances.

3 Dividends

Paid from common and preferred shares.

4 Managed fund distributions

From managed investments such as mutual funds, pooled funds and segregated funds.

5 Other distributions

Paid to you from other investments, such as Income Trusts, Royalty Trusts and Real Estate Investment Trusts (REITs).

6 Change in market value

The change in what your securities, including cash balances, are worth on the open market over specified time periods.

7 Total investment return

Calculated after management fees and commissions.

8 Capital review

This section summarizes how the value of your portfolio has changed over various time periods.

9 Beginning portfolio review

The market value of your portfolio, including accrued interest, at the beginning of each specified period of time.

10 Deposits/transfer ins

The value of all cash and/or securities deposited to your portfolio, including currency transfers and cash and/or securities transferred in from other RBC Dominion Securities accounts.

11 Withdrawals/transfer outs

The value of all cash and/or securities withdrawn from your portfolio, including currency transfers and cash and/or securities transferred out to other RBC Dominion Securities accounts.

12 Withholding tax

The amount of tax paid on any income earned in your portfolio subject to withholding taxes.

13 Ending portfolio value

The market value of your portfolio at the end of the current period, including accrued interest but not accrued dividends.



Wealth Management
Dominion Securities

RBC Dominion Securities Inc. Statement of Your Account RRSP (Cdn \$)

Statement for July 1 to September 30, 2016

Page 1 of 1

PORTFOLIO REVIEW

Your Account Number: 111-22222-1-7

	Current Quarter (July 1, 2016 – Sept. 30, 2016)	Year-to-Date (Jan. 1, 2016 – Sept. 30, 2016)	5 Year: (Jan. 1, 2011 – Sept. 30, 2016)
1 INVESTMENT RETURN			
2 Interest	3,603.13	14,412.50	43,236.00
3 Dividends	406.25	1,625.50	4,911.00
4 Managed Fund Distributions	0.00	2,700.00	5,355.00
5 Other Distributions	382.50	1,530.00	4,678.00
6 Change in Market Value	3,431.50	19,732.00	48,245.00
7 Total Investment Return	7,823.38	40,000.00	106,425.00
8 CAPITAL REVIEW			
9 Beginning Portfolio Value	549,625.62	500,000.00	400,000.00
10 Deposits/Transfer Ins	0.00	20,000.00	65,000.00
11 Withdrawals/Transfer Outs	-500.00	-3,000.00	-12,000.00
12 Withholding Tax	-24.00	-75.00	-2,500.00
Total Investment Return	7,823.38	40,000.00	106,425.00
13 Ending Portfolio Value	556,925.00	556,925.00	556,925.00

If you have any questions about the information contained in this guide, or any other questions about your account, your advisor would be pleased to assist you.



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