



WOODWORTH WEALTH
MANAGEMENT TEAM



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BUILDING YOUR WEALTH – AND YOUR CONFIDENCE

At RBC Dominion Securities, we provide the expert advice, personalized approach and sophisticated solutions you need to build and protect wealth – all backed by the strength and stability of RBC, Canada's leading financial institution.

VITAL STATS

RBC DOMINION SECURITIES

- Canada's leading full-service wealth management firm with C\$205 billion in assets under administration
- Established in 1901
- Over 1,550 Investment Advisors in 146 branches in communities across Canada
- 400,000 clients worldwide
- The #1 ranked discretionary manager among full-service investment dealers in Canada with C\$31 billion in assets under management¹
- Canada's top-ranked equity research group (Brendan Wood International Review of Institutional Equity Research, Sales and Trading Performance in Canada)
- Member of the Investment Industry Regulatory Organization of Canada (IIROC), the self-regulatory organization overseeing Canadian investment firms

- Member of the Canadian Investor Protection Fund (CIPF), which provides investors up to \$1 million in coverage if a member firm becomes insolvent
- #1 overall bank-owned investment firm as ranked by advisors (2013 *Investment Executive* Brokerage Report Card)
- A member company of RBC Wealth Management

RBC WEALTH MANAGEMENT

- Ranked #1 in Canada in both retail asset management² and high-net-worth market share³
- Over C\$600 billion in assets under administration and more than C\$370 billion in assets under management
- Ranked best private banking services overall for six consecutive years (2008-2013 *Euromoney* Private Banking and Wealth Management Survey)



RBC Wealth Management
Dominion Securities

Top Global Wealth Managers⁸

Rank	Company	Assets Under Mgmt (US\$ B)
1	UBS	1,705
2	Bank of America	1,674
3	Wells Fargo	1,400
4	Morgan Stanley	1,308
5	Credit Suisse	855
6	RBC	629

Among the Top Six North American Banks⁹

Rank	Company	Market Cap (US\$ B)
1	Wells Fargo	213
2	JP Morgan	203
3	Citigroup	154
4	Bank of America	143
5	RBC	89
6	American Express	83

One of the Top 15 Banks Globally⁹

Rank	Company	Market Cap (US\$ B)
1	ICBC	239
3	HSBC	204
7	ABC	145
11	RBC	89
15	Itau Unibanco	80
18	Santander	74

As one of the world's top wealth managers, RBC Wealth Management has a global team of experts in areas like financial planning, investment management, estate and trust services and private banking.

- Recognized as one of the world's Top 6 Global Wealth Managers in Scorpio Partnership's Private Banking Benchmark 2013 annual survey, as part of RBC Royal Bank

RBC ROYAL BANK⁴

Canada's largest bank by assets and market capitalization, with broad leadership in financial services

- The 11th-largest bank globally based on market capitalization, with operations in 46 countries
- Approximately 80,000 full- and part-time employees serving 15 million clients worldwide
- Strong credit ratings in volatile markets: Moody's Aa3, S&P AA-, Fitch AA
- The only Canadian bank named one of the Global 100: Most Sustainable Corporations in the World – for the ninth consecutive year⁵
- Named Best Bank in North America and Canada⁶ and one of the Best Workplaces in Canada⁷

A TEAM-BASED APPROACH TO MANAGING YOUR WEALTH

As a client of RBC Dominion Securities, you have access to a wide range of RBC Wealth Management services through your dedicated Investment Advisor, who works with an extended team of tax, legal, financial and investment professionals, including:

- Financial Planning Specialists to help develop financial plans
- Estate Planning Specialists who provide advice on insurance, disability and other estate protection solutions
- Estate and Trust Advisors providing guidance on Wills, Powers of Attorney and other estate planning strategies
- High Net Worth Planning Specialists who advise clients with above-average financial assets on matters specific to their situation
- Business Owner Specialists dedicated to wealth planning for business owners

Additionally, through our partners at RBC you have access to a full range of financial services, including personal and business banking and Private Banking.

For more information, please contact us today.

¹Canadian Institutional Investment Network Fall 2012 Top 40 Money Managers Survey. ²Investment Funds Institute of Canada, September 2012. ³Investor Economics, November 2012. ⁴All financial information is as of April 30, 2013. For the most up-to-date information, please contact us. ⁵Corporate Knights, January 2013. ⁶Global Finance, March 2013. ⁷Great Place to Work Institute Canada; The Globe and Mail, April 2013. ⁸Scorpio Partnership Private Banking Benchmark 2013. ⁹Bloomberg, May 24, 2013.

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