Wealth Management
Dominion Securities

Scott Zack, Investment Advisor
RBC Wealth Management

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What to expect

We will personalize a plan that brings you confidence in your financial future.

We start our client discussions with **Where, What, How**

- Where are we on your plan? Where are we going?
- How did we get here and how will we get there?
- What changes have you made or need to be made?
- ...and often: Who else will we involve to help?

Your advisor

- 20 years+ of finance, investment management and private equity experience
- Created and lead several successful investment funds and portfolios for some of the largest banks and funds in North America. Prior to joining RBC Dominion Securities, Scott managed several investment funds for Goldman Sachs and worked at a large global private equity firm and as an investment banker at a global bank
- Experience managing funds for some of the largest foundations, families and individual investors in North America provides a unique platform for clients to develop customized wealth strategies
- Focused on tax planning, tax-efficient investing, portfolio management and philanthropy among other crucial wealth management components
- Emphasizes partnership approach to wealth management, coordinating with you, your tax and legal advisors and utilizing other experts as needed
- Utilizes diverse network of investment colleagues across the world to develop investment ideas and themes
- Recognized consistently as one of the top performers for the organizations and clients that he has served
- HBA degree with honors from the Ivey Business School. Scott resides in Toronto with his daughter
- Active contributor to philanthropic causes with a specific focus on cancer care and cancer research

Our client wheel

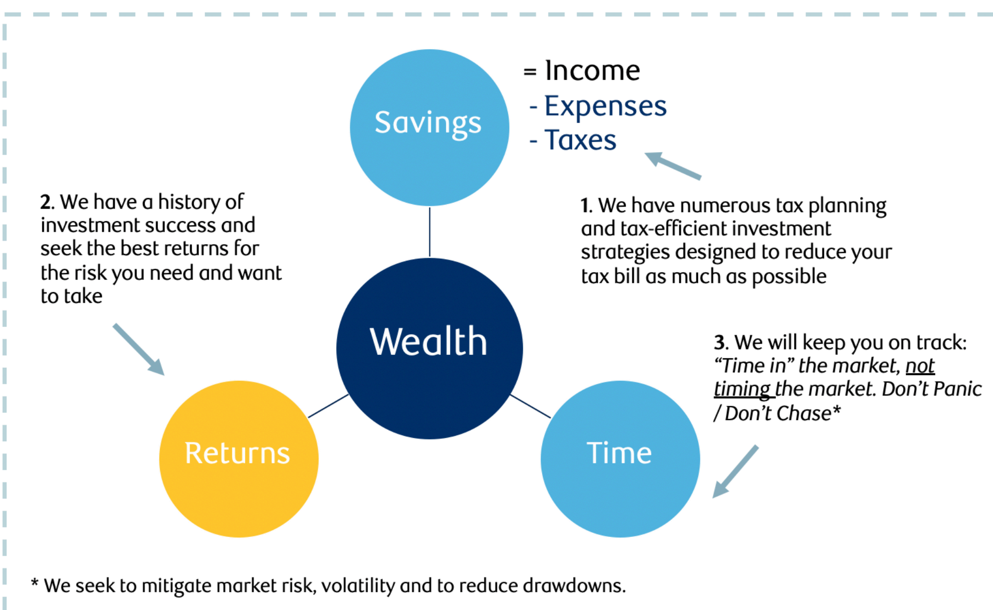
These are the three most important elements of your plan....

"Where are we now? Where are we going? How are we doing?"

...but we also excel at providing these

"How will we get there?"
"Who else can help?"

Our approach to wealth management



Known or Controlled

Unknown

Some Level of Control

4. We Can "Build the Fence"
- Estate & legacy planning
- Insurance
- Trusts
- Charitable giving

Your experts

We coordinate our team of experts and your existing providers to ensure that you are on the best path

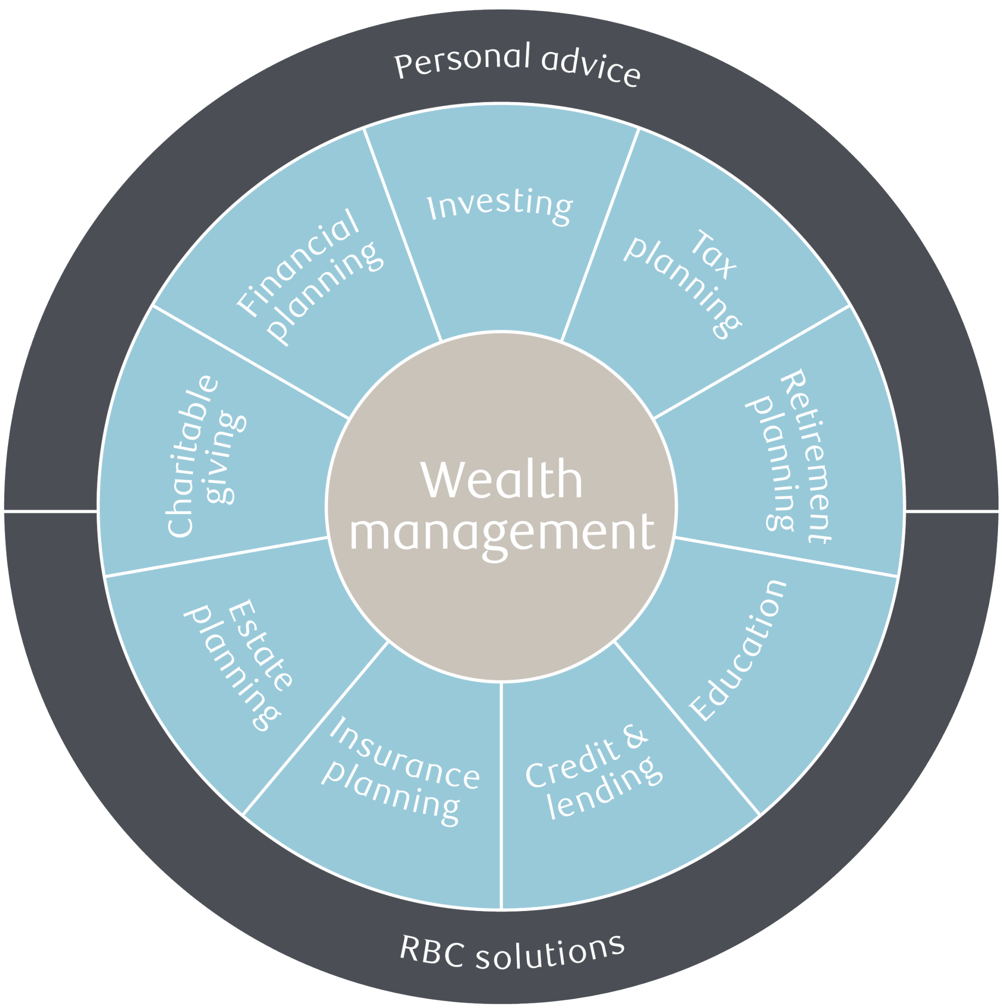
While managing your portfolios and overall wealth strategy, we involve a number of our internal partners to maximize your financial opportunities. We leave no stone unturned to increase and protect your wealth.

Over time 'small' changes make a BIG difference (remind us to show you how)

Planning and Support Available*:

1. Financial Planning to roadmap your financial needs and goals
2. Tax Planning & Tax Efficient Investing coordinated with your advisors including: Family Trusts, Individual Pension Plans, charitable gifts / donor advised funds, tax-loss harvesting, short selling
3. Estate Planning & Insurance to protect your family and your wealth
4. Estate & Trust analysis to ensure your legacy is treated as intended
5. Business Owner Specialists can assist with existing businesses and succession planning for owners and families

* There is no additional fee from RBC for any of these opportunities and we recommend that you use as many as possible



Scott’s network

Scott has a deep network of investment professionals built through almost 20 years of direct investing for some of the largest clients in the world. He uses this network to help develop investment ideas and themes for our clients.

- Current and former global hedge-fund managers
- Chief Investment Officers and portfolio managers
- Managing Directors of global private equity firms and investment banks
- Current and former CEOs of public companies
- Media executives
- Large-scale real estate developers
- Technology entrepreneurs
- Biotechnology experts
- Small-mid sized business owners
- Pioneering tax experts/lawyers

The RBC Wealth Management advantage

Investment resources normally reserved for institutions combined with service that you would expect to find at a family office

Using RBC Wealth Management Services’ team of accredited lawyers, accountants and financial planning professionals, we are able to deliver the level of integrated wealth management expertise that previously was available only to the most affluent families.

RBC is the largest bank in Canada and among the top ten in the world. RBC Dominion Securities has been helping investors achieve their goals since 1901. Today, the firm is Canada’s leading provider of wealth management services, trusted by more than 420,000 clients worldwide. In 2020, RBC won awards for Best Innovative Client Solution and Highest-rated, bank-owned investment brokerage.* RBC also has the top-ranked securities underwriter, and mergers and acquisitions advisor (RBC Capital Markets).

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