Wealth Management
Dominion Securities

Gallivan Wealth Management

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What's included in your relationship with us?

Our commitment to providing value

We are pleased to offer you a wide range of investment and wealth management services, many of which are complimentary to you as a valued client.

Discovery

- Explore your current financial situation, portfolio and investment objectives
- Establish your investment and wealth management goals
- Determine your tolerance for risk and market fluctuation

Strategy

- Develop your individual Investment Policy Statement
- Build customized portfolios designed to meet your investment goals
- Establish your needs for tax, estate, insurance and charitable gift planning
- Provide research, commentary and information on specific holdings, markets or economies
- Collaborate with your existing professional advisors, such as lawyers and accountants, to integrate your investment plans
- Introduce, as appropriate, a full suite of services from our RBC partners

Enhanced wealth management services

- Benefit from the expertise of our RBC Wealth Management Services team, which includes specialists in financial planning, estate law and taxation
- Comprehensive financial planning (Compass Financial Plan)
- Family Snapshot™ Wealth Management Opportunities Report
- Will and estate consulting
- Insurance-based wealth enhancement assessment
- Business succession planning
- Corporate re-organization advice
- Family wealth management

Thank you for your interest in wealth management at RBC Dominion Securities. For more information, or to schedule an appointment, please contact us.

Investment solutions

- Proprietary and third-party investment products, including mutual funds and portfolio services
- Global and North American equities, including stocks, preferred shares, income trusts and more
- Canada's largest inventory of fixed-income and money market instruments, including T-bills, GICs, government bonds and corporate bonds

Investment portfolio services

- Ongoing personal advice
- Buy/sell recommendations
- Scheduled portfolio reviews
- Portfolio monitoring
- Portfolio rebalancing
- Safekeeping
- Multi-currency, non-registered account functionality
- Automatic contributions and withdrawals, if needed
- Automatic mutual fund purchase or redemption plans
- Electronic funds transfers
- Registered estate processing, tax reporting and T3 returns
- Old certificate current status and value research
- Interest on cash balances

Reporting

- DS Online private client website, providing secure 24/7 account access, exclusive investment research, quarterly market outlook, RBC Economics publications, Reuters market news, real-time quotes, customized Watchlists and more
- Consolidated view of all your RBC accounts and ability to transfer funds between accounts through DS Online
- Quarterly account statements (or monthly when there is trading activity in an account)
- Paperless eDocuments through DS Online to reduce your paperwork and help us help the environment, including a seven-year archive.
- Annual consolidated tax reporting, including dispositions with book costs
- Quarterly performance reporting

Research and information services

- RBC Wealth Management Services library of publications and articles on a wide range of tax, retirement and estate planning topics
- Access to the broadest research in Canada for domestic and global markets, including RBC Capital Markets, JP Morgan, and independent Veritas exclusive to RBC
- *Global Insight, Morning Market Commentary, Wealth Management Review,* etc.