



Canadian Tax Slips and Supporting Documents You May Receive

This checklist will help you understand and organize the tax slips and supporting documents you may receive related to your investments at RBC Dominion Securities for filing a return with the Canada Revenue Agency. Relevé forms are only provided to clients who reside in Québec and are mailed along with their corresponding federal equivalent.

NAME	MAIL DATE	INFORMATION REPORTED
Non-registered investments		
T5 / Relevé 3	Mid-February	<p>If you received income of \$50 or more during the year from stocks, bonds or interest on credit balances you will receive a T5 (and Relevé 3, if you are a resident of Quebec) and an accompanying Summary of Investment Income and Expenses. Your T5 slip includes:</p> <ul style="list-style-type: none"> ■ Dividends paid, including the value of stock dividends. ■ Interest paid on bonds and cash balances. ■ Accrued interest earned during the year (but not yet received) on compound instruments such as GICs and compound savings bonds. ■ Foreign income and foreign withholding taxes paid. <p>The Summary of Investment Income and Expenses summarizes income received as well as charges to your account for dividends owed, interest paid on debit balances and accrued interest paid on the purchase of bonds. The amount in the “Paid to You” column supports the figures reported on your T5. The amounts in the “Paid by You” column may qualify as an investment expense on your tax return.</p> <p>If you disposed of securities during the year, you will also receive the Summary of Security Dispositions summarizing the proceeds of any sale or maturity of securities. This report, together with your trade confirmations or month-end statements, will assist you in calculating your capital gains/ losses. The Summary of Security Dispositions features:</p> <ul style="list-style-type: none"> ■ Book cost, disposition, gain/loss information and totals in Canadian dollars, where available. ■ For income from Treasury Bills and other Discount Instruments, this report also summarizes the cost of the securities purchased and the maturity proceeds. The difference between the cost and maturity proceeds is income that must be included on your tax return less any interest reported in previous years. <p>If you disposed of Income Trust units during the year, you will also receive the Income Trust Disposition Gain/ Loss Worksheet to assist you in calculating the gains/ losses resulting from the disposition of Income Trust units that distributed a return of capital. Return of capital information for Income Trust units will be provided separately in your T3 tax slips throughout March and April.</p> <p>If you will be receiving further tax slips related to Mutual Funds, Income Trusts, Limited Partnership Units or Split Corps held in your account, you will also receive a document called Important Information Regarding Outstanding Tax Documents listing these securities. Please be aware that issuers may file amendments even after you receive your tax slips. In such a case, RBC Dominion Securities will issue an amended tax slip as soon as possible.</p>
NR4	Mid-February	Non-residents of Canada who receive investment income will receive an NR4 slip stating the gross amount of the income received and any Canadian non-resident taxes withheld.
T5/ Relevé 3 for Split Corps	Late February	If you invest in Split Corps securities, you will receive a T5 (and Relevé 3 , if you are a resident of Quebec) and Summary of Investment Income and Expenses that summarizes all distributions received from such securities during the year.



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NAME	MAIL DATE	INFORMATION REPORTED
Non-registered investments – continued		
T5 / Relevé 3 or T3 / Relevé 16 for Mutual Funds	Throughout March	If you invest in Mutual Funds, you will receive a T5 (and Relevé 3 , if you are a resident of Quebec), or a T3 (and Relevé 16 , if you are a resident of Quebec) directly from each Mutual Fund management company.
T3 / Relevé 16 for Income Trusts	Throughout March	If you invest in Canadian Based Real Estate Investment Trusts (REITS), Income Trusts and Royalty Trusts, you will receive a T3 (and Relevé 16 , if you are a resident of Quebec) and Statement of Trust Income Allocations which summarizes all distributions received from such securities during the year. Please be aware that issuers may file amendments even after you receive your tax slips. In such a case, RBC Dominion Securities will issue an amended tax slip as soon as possible.
T3 / Relevé 16 for LifePoints	Throughout March	If you participate in the LifePoints Program, you will receive a T3 (and Relevé 16 , if you are a resident of Quebec) directly from Russell Investments.
T3 / Relevé 16 for Russell Sovereign	Throughout March	If you participate in the Russell Sovereign Investment Program, you will receive a T3 (and Relevé 16 , if you are a resident of Quebec) directly from Russell Investments.
T5013 / T5013A / Relevé 15 for Limited Partnerships	Throughout March	If you invest in Limited Partnership Units (or tax shelter), you will receive a T5013 (and Relevé 15 if you are a resident of Quebec) for each Limited Partnership/tax shelter. It is possible that a T5013 will be issued directly by the Limited Partnership, but in most cases RBC Dominion Securities will mail you your tax slips. Please be aware that issuers may file amendments even after you receive your tax slips. In such a case RBC Dominion Securities will issue an amended tax slip as soon as possible.
Registered investments		
RIF / LIF / LRIF / PRIF Evaluation Letter	Late January	The RIF / LIF / LRIF / PRIF Evaluation Letter shows: (i) the value of the assets at December 31, (ii) the minimum amount that must be withdrawn, (iii) the maximum amount that can be withdrawn (if it is a LIF or LRIF) and (iv) your desired payment amounts and frequency.
RSP Contribution Receipt	January through early March	The RSP Contribution Receipt reports the value of cash or securities (“in kind”) contributed to an RSP account during the year. Receipts are issued in early January for contributions made during the last ten months of the tax year, and throughout February and early March for contributions made in the first 60 days of the New Year.
Relevé 7	Mid-February	If there is a qualified transaction in your Québec Stock Savings Plan account, you will receive a Relevé 7 .
T4A / Relevé 2	Mid-February	A T4A (and Relevé 2 , if you are a resident of Quebec) will be issued to (i) a beneficiary of a Registered Education Savings Plan (RESP) who received Educational Assistance Payments from the plan during the year, and (ii) subscribers who received Accumulated Income Payments during the year.
T4RSP / T4RIF/ Relevé 2	Mid-February	All payments from RSP, RIF, LIF, LRIF and PRIF accounts must be included in your income. If you withdrew funds from your RSP, you will receive a T4RSP (and Relevé 2 , if you are a resident of Quebec). If you withdrew funds from your RIF, LIF, LRIF or PRIF, you will receive a T4RIF .