



EARNING YOUR TRUST THROUGH ENHANCED RISK MANAGEMENT

Managing over \$31 billion on a discretionary basis takes more than just our clients' trust. As a client of Private Investment Management, you entrust us with a tremendous responsibility – one that we take very seriously, and are expertly equipped to handle with best-in-class oversight and industry-exceeding qualifications.

STRICT QUALIFICATION REQUIREMENTS

In order to offer Private Investment Management, we must meet strict standards for education, experience and assets under administration – standards that, in fact, surpass those established by regulatory authorities. We are privileged to be part of the group qualified to offer Private Investment Management, which consists of fewer than one quarter of all advisors at RBC Dominion Securities.

ADDITIONAL SAFETY MECHANISMS

In today's world, we believe that additional support and safety mechanisms are a must to provide you with optimum peace of mind. To that end, we receive critical support in managing your Private Investment Management portfolio:

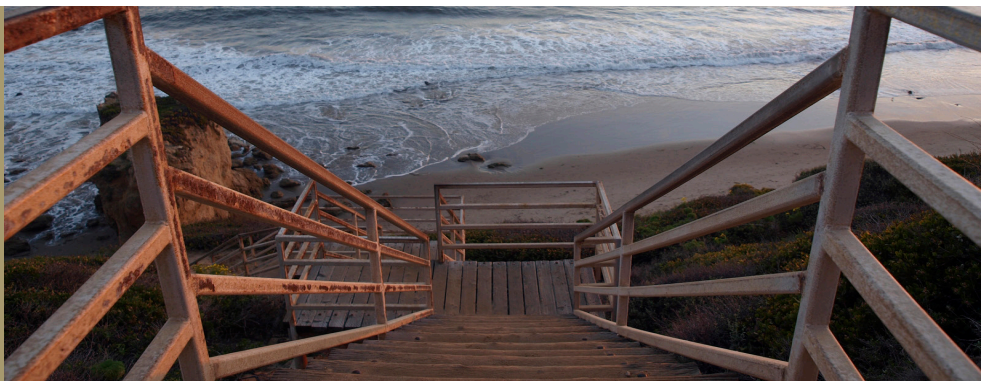
- **Investment Management Guidelines** that set essential standards for quality and diligence in every portfolio we manage.
- **Investment Policy Statements** that identify and clearly document your investment goals, objectives and constraints.
- **The Portfolio Implementation and Risk Management Group** with oversight responsibilities for every Portfolio Manager and every discretionary account we manage.
- **A Compliance Team** with the responsibility for ensuring all government and industry regulations are followed in the management of your portfolio.

The **Investment Management Guidelines** provide the core asset quality requirements that are the



RBC Wealth Management
Dominion Securities

You can think of your Investment Policy Statement as the charter or master plan that we create to manage your portfolio.



If you desire greater freedom to focus on what's really important to you, and the confidence of knowing that your portfolio is in the care of trusted professionals, we invite you to consider Private Investment Management.

foundation for every portfolio we build. We must follow these fundamental directives, which set standards for security concentration, industry and sector diversification, market capitalization and credit quality.

The **Investment Policy Statement** is your specific mandate that details all your objectives, goals and constraints in managing your portfolio. You can think of it as the charter or master plan that we create to manage your portfolio. Due to its importance, the Investment Policy Statement is signed by you and us to make sure the agreed-upon strategy is on target.

Your portfolio is monitored by our **Portfolio Implementation and Risk Monitoring Group** to ensure its ongoing alignment with our Investment Management Guidelines and your customized Investment Policy Statement. This group consists of seven professionals with

extensive experience and educational requirements in the fields of risk management and quantitative analysis.

Private Investment Management also has a **Compliance Team** of financial professionals to ensure we meet the requirements of industry regulation and law. In many cases, our internal policies exceed the guidelines established by industry regulators. By auditing our work, as well as the portfolios we manage, this team provides further peace of mind to our clients.

The qualifications and experience required to offer Private Investment Management, combined with the support provided through these four mechanisms, helps keep your portfolio on track.

Please contact us for more information.

This information is not investment advice and should be used only in conjunction with a discussion with your RBC Dominion Securities Inc. Investment Advisor. This will ensure that your own circumstances have been considered properly and that action is taken on the latest available information. The information contained herein has been obtained from sources believed to be reliable at the time obtained but neither RBC Dominion Securities Inc. nor its employees, agents, or information suppliers can guarantee its accuracy or completeness. RBC Dominion Securities Inc.* and Royal Bank of Canada are separate corporate entities which are affiliated. * Member-Canadian Investor Protection Fund. RBC Dominion Securities Inc. is a member company of RBC Wealth Management, a business segment of Royal Bank of Canada. ©Registered trademarks of Royal Bank of Canada. Used under licence. © 2013 Royal Bank of Canada. All rights reserved. PIMRM-EN (01/2013)