

Build your business with the support of Canada's leading wealth management firm



If you are dedicated to building the best wealth management business you can, no firm can offer you more advantages over your competition than RBC Dominion Securities. As a result of the firm's focus on client and advisor satisfaction, over the past three years we have welcomed over 100 established advisors from other firms who want to be a part of our success.

BRANCH MANAGEMENT

As managers, we treat our advisors like our clients. An RBC Dominion Securities manager is an ally who helps your business grow and prosper.

EXPERT LOCAL SUPPORT

Investment Advisors are busy people. We employ financial planners, Will and estate lawyers, insurance consultants and high-net-worth tax experts who meet with advisors and their clients to provide a boutique experience that is beyond their expectations.

EXPERT HEAD OFFICE SUPPORT

- **Portfolio Advisory Group** – 12 equity and fixed-income portfolio advisors who support only RBC Dominion Securities advisors

- **Fixed-Income Trading Desk** – 11 fixed-income product and trading specialists
- **Financial Planning Advisory Support** – 10 accountants and lawyers keeping you well-informed on financial, tax and estate planning topics by taking your specific questions and producing over 400 articles and publications
- **Financial Planning Analysts** – A team of 10 financial planners who prepare comprehensive financial plans for your high-net-worth clients
- **Financial Planning Software Support** – Four financial planners with software expertise to help you create financial plans
- **High-Net-Worth Planning Services** – Five high-net-worth planning specialists to help you address your ultra-high-net-worth clients' most complex tax, estate and financial planning concerns
- **Managed Product Helpdesk and Product Managers** – Eight experts on our suite of managed investment products and wealth management solutions

- **Business Development** – 10 marketing professionals to help advisors create websites, design marketing materials and build lists
- **Business Application Specialists** – 10 on-site technology trainers to help you set up your desktop and maximize efficiencies

PRACTICE MANAGEMENT

One-on-one consulting to help established practices break through the barriers to the next level of success, transform from transaction to fee business, or adopt a more holistic wealth management approach.

RBC TRUST FACTOR & PARTNERSHIP

RBC is Canada's largest and most trusted financial institution, giving our clients exceptional peace of mind. As Canada's most valuable brand, instantly recognizable worldwide, RBC also helps open doors with prospective clients. Locally, we enjoy an excellent relationship with our RBC partners, working together on client service and referrals.

INNOVATIVE PRODUCTS

We have created innovative products that allow us to provide our clients with simple Family Trusts, Charitable Foundations, IPPs, RCAs and more. Most recently, we launched Canada's first full-service U.S. Dollar Registered Plan, enabling clients to buy, sell and settle in U.S. or Canadian dollars in RRSPs, RRIFs, TFSAs and more.

GUIDED PORTFOLIO PROCESS

Our Guided Portfolio investment management process is the envy of the industry. Our Canadian equity focus list has consistently outperformed the benchmark S&P/TSX Index – 23 of the last 26 years.

UNIFIED MANAGED ACCOUNT

The latest addition to our industry-leading suite of managed investment solutions is the A+ Unified Managed Account (UMA). One of the few UMAs available in Canada, A+ enables you to apply RBC's proven top-down investment strategy to a portfolio of internal and third-party manager models, recommended mutual funds, RBC private pools and select Exchange-Traded Funds – all in one custody account.

DISCRETIONARY MANAGEMENT

Our Private Investment Management program allows qualified advisors to become licensed as discretionary Portfolio Managers, enabling them to compete directly with private investment management firms. While other firms struggle to grow this type of program, RBC Dominion Securities advisors now manage over \$20 billion in this fashion.

INVESTMENT TO SUPPORT YOUR BUSINESS

Many of these advantages come about as a result of our financial strength. We are by far the most profitable IIROC-registered firm in the country. This financial strength allows us to reinvest in our business at a time when others cannot.

› THE RESULTS SPEAK FOR THEMSELVES

Our advisors manage an average of approximately \$110 million for their clients. This is substantially more than the average at any of the other large full-service firms. This is the most compelling proof that, when all is said and done, it is easier for you, and your clients, to grow and prosper at RBC Dominion Securities.

› #1 BANK-OWNED DEALER, 2010 INVESTMENT EXECUTIVE REPORT CARD

RBC Dominion Securities ranked #1 in the 2010 *Investment Executive Report Card* for the fourth consecutive year. RBC Dominion Securities ranked #1 in both the overall score and the advisors' overall rating of their firms. We also ranked #1 in 33 of the 36 categories, including the Branch Manager support and Firm Stability categories.

Call us today for more information. Discretion assured.



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