

# Reassess Risk Questionnaire

Name: \_\_\_\_\_ Date: \_\_\_\_\_

**1. Approximately when will you need this money?**

- ☐ 1-Year    ☐ 3-Years    ☐ 5-Years    ☐ 10-Years    ☐ 20 Years

**2. What is the likelihood that you will make a withdrawal before this time?**

- ☐ Definitely    ☐ Likely    ☐ Not Sure  
☐ Probably Not    ☐ Definitely Not

**3. Which statement best represents your investment objectives?**

- ☐ I'm willing to accept a lower return because not losing any of my investments and ensuring regular income are my top priorities.  
☐ Ensuring regular income is my primary goal and limited potential for growing my portfolio is the secondary objective.  
☐ Both a regular income and potential for growing my portfolio are my priorities of the portfolio.  
☐ I'm willing to accept the potential of short or medium term decline in the portfolio in exchange for the potential of higher long term growth

**4. I have had a portfolio of investments for at least:**

- ☐ 1-Year    ☐ 3-Years    ☐ 5-Years    ☐ 10-Years    ☐ 20 Years

**5. My investment knowledge is:**

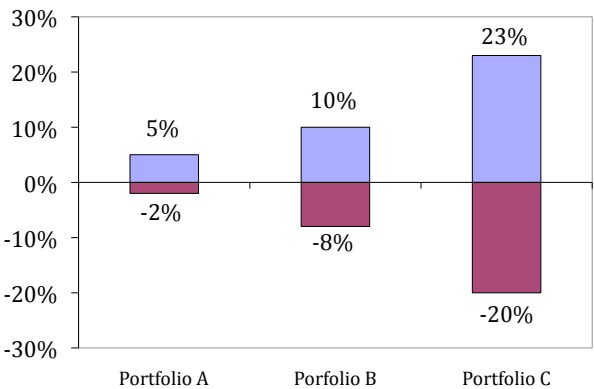
- ☐ **Minimal** – my investment knowledge is minimal and my experience has involved only limited types of strategies and investments  
☐ **Limited** – I understand the basics of investing and have had limited to moderate experience with different types of investments and strategies  
☐ **Good** – I have good knowledge of investing and have had moderate to extensive experience with different types of investments and strategies  
☐ **High** – I am a sophisticated investor who has had extensive experience with a wide variety of investments and strategies

6. In 2008, the Canadian stock market (TSX) returned -33.02%, the US market (S&P 500) -37.00% and the International market (MSCI EAFE) -43.39%. What was your reaction to 2008’s market performance?

- ☐ Caused me to sell my entire portfolio to prevent further losses
- ☐ Caused me to completely change my investment approach and has made me much more risk adverse
- ☐ Didn’t result in me making any changes to my portfolio but resulted in changing my expectations of reaching my goals / objectives
- ☐ Took actions to take advantage of the market volatility

7. Select your preferred portfolio:

The following chart illustrates the ‘best single year’ and ‘worst single year’ results of 3 different portfolios over a long term time horizon. Which portfolio are you most comfortable with?



- ☐ Portfolio A    ☐ Portfolio B    ☐ Portfolio C

8. Of the choices below, what is your biggest concern with respect to your portfolio?

- ☐ Decrease in the value of your portfolio
- ☐ Not able to meet your income needs
- ☐ Not capturing the growth potential offered by the market
- ☐ Will my money last?

9. Please select which investment you would purchase:

- ☐ An investment that returns 2% over the long term that, at worst, may return -2% in any given year
- ☐ An investment that returns 8% over the long term that, at worst, may return -25% in any given year

10. Current Mix:

What is the current asset allocation of your portfolio?

Asset Class	% of Portfolio
Cash	
Fixed Income	
Equity	



**11. What do you need this money for?**

**12. What gives you the most concern when you think about your portfolio?**

**13. Is there a particular issue that gives you concern with the current markets?**

**14. Is there anything else we should know about your investment goals and tolerances that have not been covered already?**