The Greg Upson Wealth Management Team

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Our Complimentary Second Opinion Service

Helping you and your family build, manage and protect your wealth

If you're part of a large family, you may have to manage financial issues that others don't, such as an inheritance, assets in trust or a family vacation property. Perhaps you'd like to put your finances into greater context with your family's savings, estate and business planning goals. Whatever your personal situation, we are here to help, with a comprehensive review that can ensure your wealth remains "all in the family."

Our review process typically looks at both your investment portfolio and your overall wealth planning. This way, we can assess your financial situation in the context of your personal tax situation, savings goals, the time you have to invest and other factors such as your insurance coverage or charitable intentions.

Portfolio Review

Our process follows four key steps:

1. First, we listen to you to understand your needs and concerns.
2. Then, we document your investor profile, including your comfort with market risk and the reasons for investing (such as saving for an education or retirement).
3. Next, we review your current investment holdings based on our four analytical disciplines (economic, fundamental research, quantitative research and technical analysis) and current outlook for the economy and markets.
4. Finally, we prepare a detailed written report containing our recommendations.

Our recommendations may include anything from minor adjustments to comprehensive changes. We may invite you to join us as a client, or perhaps recommend one of our partners from another area of RBC. Or we may simply confirm that you are on track to achieving your goals.

RBC Wealth Management
Dominion Securities

Our comprehensive wealth review can help ensure your wealth remains "all in the family."

Wealth Management Assessment

Your portfolio represents one aspect of your overall wealth, while your family's wealth management plan may call for additional expertise from the RBC Wealth Management Services team of tax, legal, financial and estate planning professionals, including:

- Financial Planning Specialists to help develop financial plans
- Estate Planning Specialists who provide advice on insurance, disability protection and other estate protection solutions
- Estate and Trust Advisors providing guidance on Wills, Powers of Attorney and other estate planning strategies
- High Net Worth Planning Specialists who advise clients with above-average financial assets on matters specific to their situation, such as vacation property succession planning or family trusts
- Business Owner Specialists dedicated to wealth planning for business owners

Working closely with your family members, we can help ensure that these issues are captured in your own financial picture and wealth management plan, and that your family's tax, financial and estate planning needs are taken into consideration.

To learn more about our complimentary second opinion service for family members, please contact us.

Canada's Leading Wealth Management Firm

At RBC Dominion Securities, we provide a comprehensive wealth management services for families, owner/managers, foundations and other organizations. For more than a century, we have helped our clients to build and preserve their wealth through sound financial planning, trusted investment advice and unparalleled wealth management solutions. Today, with over 400,000 clients across Canada and worldwide, and $250 billion in assets under administration, RBC Dominion Securities is Canada's leading full-service investment and wealth management firm.

RBC Dominion Securities is a member company of RBC Wealth Management, which was recognized as one of the world's Top 5 Global Wealth Managers in Scorpio Partnership's Private Banking Benchmark 2015 annual survey and #1 in Canada in both retail asset management and high net worth market share (Investor Economics, April 2014; Investment Funds Institute of Canada, September 2013.) As a wholly owned subsidiary of RBC, Canada's largest financial institution named Best Global Retail Bank (Global Finance, 2014), we also offer the extra peace of mind that comes from working with a reputable, financially stable organization.

This report is not intended as nor does it constitute tax or legal advice. Readers should consult their own lawyer, accountant or other professional advisor when planning to implement a strategy. This information is not investment advice and should be used only in conjunction with a discussion with your RBC Dominion Securities Inc. Investment Advisor. This will ensure that your own circumstances have been considered properly and that action is taken on the latest available information. The information contained herein has been obtained from sources believed to be reliable at the time obtained but neither RBC Dominion Securities Inc. nor its employees, agents, or information suppliers can guarantee its accuracy or completeness. Insurance products are offered through RBC Wealth Management Financial Services Inc. ("RBC WM FS"), a subsidiary of RBC Dominion Securities Inc. When providing life insurance products in all provinces except Quebec, Investment Advisors are acting as Insurance Representatives of RBC WM FS. In Quebec, Investment Advisors are acting as Financial Security Advisors of RBC WM FS. RBC DS WM FS is licensed as a financial services firm in the province of Quebec. RBC Dominion Securities Inc.* and Royal Bank of Canada are separate corporate entities which are affiliated. * Member–Canadian Investor Protection Fund. RBC Dominion Securities Inc. is a member company of RBC Wealth Management, a business segment of Royal Bank of Canada.
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